

# Acute Respiratory Failure in Children

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**A**cute respiratory failure (ARF) continues to be a major problem in paediatric critical care<sup>1</sup>. It is defined as the inability of the respiratory system to deliver adequate oxygen or to remove CO<sub>2</sub> from the pulmonary circulation thereby leading to arterial hypoxia, hypercapnia or both<sup>2</sup>. In practical terms acute respiratory failure is present when the PaO<sub>2</sub> is < 8 kPa (60 mmHg) or the PaCO<sub>2</sub> is > 7 kPa (55 mmHg)<sup>3</sup>. It is a common paediatric problem and is responsible not only for morbidity in children but also a high death rate. The clinical findings in respiratory failure are determined by the adverse effects of low oxygen and high CO<sub>2</sub> on the function of susceptible organ systems, chiefly the lung, heart, kidneys and brain<sup>2</sup>.

In a recent report from a typical, large city tertiary paediatric intensive care unit, patients with ARF comprise nearly 3% of all admissions and 8% of total patient days<sup>4</sup>. Mortality in this group was 62% and accounted for 33% of all unit deaths in the 24 month surveillance period. Others have reported a similar prevalence with comparable mortalities ranging from 40% to 75%<sup>5-8</sup>, which appear not to have changed over the past 15 to 20 years<sup>1</sup>.

## INFANTS - AT HIGH RISK OF RESPIRATORY FAILURE

Infants are at a higher risk of respiratory failure because of physiologic, anatomic and mechanical differences between their respiratory systems and those of adults.

1. In infants the thoracic cage is soft and therefore, provides an unstable base for the ribs.
2. Intercostal muscles are poorly developed in children, therefore, cannot achieve the classic bucket handle motion that characterizes adult breathing.
3. The diaphragm is less effective in infants because it is relatively flat and short and has fewer type I muscle fibres.
4. During REM sleep, the ventilatory movements

of the rib cage become uncoordinated and out of phase with those of the diaphragm.

5. The infant trachea is small, only one-third the diameter of the adult trachea. Therefore, according to Poiseuille's Law, a 1-mm thickening of the respiratory mucosa in an infant causes a 75% reduction in cross-sectional area in the infants airways compared to only a 20% reduction in the adult airway.
6. The children's alveoli are smaller and have less collateral ventilation with fewer pores of Kohn, resulting in a greater tendency for the alveolus to collapse and thus cause atelectasis<sup>2</sup>.

## RESPIRATORY FAILURE - CLASSIFICATION

Respiratory failure can be classified into two types though it should always be kept in mind that paediatric patients with respiratory failure usually display a variable combination of the two<sup>2</sup>.

- **Type I Respiratory Failure:** Patients with type I respiratory failure generally have a low PaO<sub>2</sub> with a normal to low PaCO<sub>2</sub>.
- **Type II Respiratory Failure:** In type II respiratory failure the patients have a high PaCO<sub>2</sub> with a low PaO<sub>2</sub>.

**Type I** respiratory failure is the failure of the lung to oxygenate the blood and occurs in three situations;

1. The most frequent cause is a **ventilation/perfusion defect** (V/Q mismatch) which occurs when blood flows to parts of the lungs that are poorly ventilated or underventilated i.e. when blood flow and alveolar ventilation are mismatched.
2. **Diffusion defects** result from disturbances such as a thickened alveolar membrane or a build up of interstitial fluid at the alveolar capillary junction.

3. **Intrapulmonary shunt** occurs when blood flows through areas of the lung that are never ventilated.

**Type II respiratory failure**, characterized by a high PaCO<sub>2</sub> and a low PaO<sub>2</sub> can be viewed as respiratory "pump" failure and is generally the result of alveolar hypoventilation and not of a primary disease of the lung. Numerous disease processes can contribute to this hypoventilation<sup>2</sup>.

The types of respiratory failure and the various disease processes contributing either to hypoxemic or ventilatory failure are shown in Table 1.

**Table 1: Types of respiratory failure**

Findings	Causes	Examples
<b>Type I</b>		
Hypoxia	(V/Q defect	Positional (supine in bed)
Decreased PaO <sub>2</sub>	ventilation	ARDS
Normal PaCO <sub>2</sub>	perfusion defects)	Atelactasis
		Pneumonia
		Pulmonary embolus
		Bronchopulmonary dysplasia
	Diffusion impairment	Pulmonary oedema
		ARDS
		Interstitial pneumonia
	Shunt	Pulmonary arterio-venous malformation
		Congenital adenomatoid malformation
<b>Type II</b>		
Hypoxia	Hypoventilation	Neuro-muscular disease
Hypercapnia		(Polio, Guillain Barre syndrome)
Decreased PaO <sub>2</sub>		Head trauma, sedation
Increased PaCO <sub>2</sub>		Chest wall dysfunction (burns)
		Severe reactive airway disease

It is important to remember that hypoxemia is not always related to respiratory failure. Right to left cardiac shunts, high altitude with its low ambient oxygen concentration and the production of methemoglobin, all may produce severe hypoxemia with normal respiratory function<sup>2</sup>.

## RESPIRATORY FAILURE - CLINICAL ASSESSMENT

Clinical findings in respiratory failure are determined by the adverse effects of low oxygen and high CO<sub>2</sub> and pH on the function of susceptible organ systems chiefly the lung, heart, kidneys and brain<sup>2</sup>. The most sensitive clinical indicator of increasing respiratory difficulty is a rising respiratory rate<sup>3</sup>. As respiratory failure ensues, physical findings such as tachypnea, retraction, cyanosis restlessness, or even somnolence can be seen. The hypoxemia or hypercapnia that results from ventilation/perfusion mismatch, shunt, or hypoventilation may interfere with brain metabolism, depress the myocardium, or cause pulmonary hypertension. Hypercapnia depresses the central nervous system, and the resulting acidemia depresses myocardial function. Thus patients in respiratory failure can exhibit significant changes in central nervous system and cardiac function<sup>2</sup>. Table 2 depicts the various clinical criteria for respiratory failure.

**Table 2: Clinical criteria for respiratory failure**

### Respiratory

- Wheezing
- Expiratory grunting
- Decreased or absent breath sounds
- Flaring of alae nasi
- Retractions of chest wall
- Tachypnea, bradycardia, or apnea
- Cyanosis.

### Cerebral

- Restlessness
- Irritability
- Headache
- Mental confusion
- Convulsions
- Coma

### Cardiac

- Bradycardia or excessive tachycardia
- Hypotension or hypertension

### General

- Fatigue
- Sweating

## ACUTE RESPIRATORY FAILURE - MONITORING

Monitoring is often helpful in gauging the severity and acuity of respiratory failure.

The *Pulse oxymeter* may be used to evaluate "arterial" blood oxygen saturation<sup>2,9-18</sup>. This instrument provides the clinician with a tool to rapidly and non-invasively assess and continuously monitor oxygen saturation in the patient with acute or impending respiratory failure. It should be routinely used in the assessment and management of all patients with suspected respiratory failure. However, pulseoxymeter provides no information on the CO<sub>2</sub> or acid base status of the patient<sup>2,9,19-37</sup>.

*Arterial blood gases* (ABGs) measurement remains the best means for assessment of acute respiratory failure<sup>38-51</sup>.

Arterial blood gas analysis gives information on the acid base status (with a measured pH and calculated bicarbonate level) as well as information on the status of oxygenation (PaO<sub>2</sub>) and ventilation (PaCO<sub>2</sub>) in the patient<sup>52-64</sup>. Arterial blood gas determinations are the best indicator of how well the respiratory system is performing its gas exchanging function and how well acid-base homeostasis is being maintained.

Abnormalities in blood gas tension may occur with dysfunction of any part of the respiratory system including the respiratory controller, the conducting airway, the gas exchanging portions of the lung, the pulmonary circulation, the respiratory muscles, and the chest wall.

Blood gases may be sampled by two methods by intermittent arterial puncture or through indwelling arterial lines<sup>38-51</sup>.

Table 3 gives normal values of arterial pH, PaO<sub>2</sub> and PaCO<sub>2</sub> at sea level and at an altitude of 5000 feet<sup>2,82</sup>.

**Table 3: Normal arterial blood gas values on room air**

	Sea level	5000 feet
pH	7.38 - 7.42	7.36 - 7.40
PaO <sub>2</sub> (mmHg)	85 - 95	65 - 75
PaCO <sub>2</sub> (mmHg)	36 - 42	35 - 40

PaCO<sub>2</sub> is a sensitive measure of ventilation and is inversely related to the minute ventilation<sup>2</sup>.

When interpreting the PaO<sub>2</sub> it is important to remember that it is the oxygen content of the arterial blood that matters and that this is determined by the percentage saturation of haemoglobin with oxygen. The relationship between the latter and the PaO<sub>2</sub> is determined by the oxyhaemoglobin dissociation curve. In general, if the saturation is greater than 90%, oxygenation can be considered to be adequate. It must be remembered, however, that on the steep portion of the oxygen dissociation curve small falls in PaO<sub>2</sub> will cause significant reductions in oxygen content. PaO<sub>2</sub> is also influenced by factors other than pulmonary function including alterations caused by changes in the metabolic rate or/and cardiac output<sup>3</sup>.

Knowing the ABG values and the inspired oxygen concentration enables one to calculate several parameters that may be helpful in determining the efficiency of gas exchange.

- a. The difference between alveolar oxygen concentration and the arterial oxygen value is the *alveolar arterial oxygen difference* (AaDO<sub>2</sub>). The AaDO<sub>2</sub> is less than 15 mmHG under normal conditions and it increases with increasing inspired oxygen concentrations to about 100 mmHg in normal patients breathing 100% oxygen.

Diffusion impairment, shunts and ventilation/perfusion mismatches all cause increased AaDO<sub>2</sub>.

The alveolar arterial oxygen gradient (AaDO<sub>2</sub>) is used as a predictor of outcome associated with acute respiratory failure among neonates and older children<sup>65</sup>.

- AaDO<sub>2</sub> = PiO<sub>2</sub> - (PaCO<sub>2</sub> / R) - PaO<sub>2</sub> (normal = 5-15 mmHg)
- Aa DO<sub>2</sub> = Alveolar arterial oxygen difference (mmHg)
- PiO<sub>2</sub> = Partial pressure of oxygen in inspired air mmHg.
- PiO<sub>2</sub> = (Barometric pressure - 47) x % inspired oxygen concentration.
- PaCO<sub>2</sub> = Partial pressure of carbon dioxide in arterial blood (mmHg).
- R = Respiratory quotient (usually 0.8)
- PaO<sub>2</sub> = Partial pressure of oxygen in arterial blood (mmHg).
- .47 = Vapor pressure of water.

- b. In addition to the calculation of the AaDO<sub>2</sub>, assessment of the *intrapulmonary shunting of blood* may be helpful.

The intrapulmonary shunt is the percentage of

pulmonary blood flow which passes through non-ventilated areas of the lung. It has the same effect as a right-to-left cardiac shunt in that oxygen saturation are lowered as shunting increase<sup>2</sup>.

Normal individuals have less than a 5% physiologic shunt from bronchial, thebesian, and coronary circulation.

Shunt fractions greater than 15% usually indicates the need for aggressive respiratory support.

When intrapulmonary shunt reaches 50% of pulmonary blood flow PaO<sub>2</sub> does not increase regardless of the amount of supplemental oxygen used.

$$\frac{Q_s}{Q_t} = \frac{C_{CO_2} - Ca_{O_2}}{C_{CO_2} - C_{VO_2}} \quad (\text{Normal} < 5\%)$$

$$\frac{Q_s}{Q_t} = \frac{\text{Intrapulmonary shunt (\%)}}{\text{(in patients without cardiac shunt)}} \quad (\text{Normal} < 5\%)$$

C<sub>CO<sub>2</sub></sub> = Oxygen content of pulmonary capillary blood (ml/dl)

Ca<sub>O<sub>2</sub></sub> = Oxygen content of arterial blood (ml/dL)

C<sub>VO<sub>2</sub></sub> = Oxygen content of mixed venous blood (ml/dl)

- c. Dead space ventilation (DSV) is that part of the breath in the conducting air passages plus the alveolar volume which is ventilated but not perfused by the pulmonary circulation.

DSV is increased in conditions like, bronchopulmonary dysplasia, V/Q mismatches, pulmonary interstitial emphysema, pulmonary embolism and many other entities

Decreasing DSV is dependent on its cause but such methods as tracheostomy in patients with chronic respiratory failure or streptokinase therapy in persons with pulmonary emboli are examples<sup>2</sup>.

$$V_d = \frac{(Pa_{CO_2} - Pe_{CO_2})}{Pc_{CO_2}} \quad (\text{Normal approximately } 2 \text{ ml/kg})$$

V<sub>d</sub> = Physiologic dead space (anatomic dead space + alveolar dead space) (ml)

Pa<sub>CO<sub>2</sub></sub> = Partial pressure of carbondioxide in arterial blood (mmHg).

Pe<sub>CO<sub>2</sub></sub> = Partial pressure of carbondioxide is expired air (mmHg).

Pc<sub>CO<sub>2</sub></sub> = Partial pressure of carbondioxide in capillary blood (mmHg).

## MANAGEMENT OF RESPIRATORY FAILURE

### A. Conventional Management

Conventional management of patients with respiratory failure includes the following:

- Administration of supplemental oxygen.
- The control of secretions
- The treatment of pulmonary infection,
- The control of bronchospasm
- Measures to limit pulmonary oedema<sup>3</sup>.

### B. Mechanical Ventilation

- O<sub>2</sub> supplementation:* Patients with hypoxemia induced by respiratory failure may respond to supplemental oxygen administration alone (Table 4).

- Those patients with hypoventilation and diffusion defects respond better than patients with shunts or V/Q mismatches.

- Severe V/Q mismatches often do not respond to anything but aggressive airway management and mechanical ventilation.

- Patients with a decreased functional residual capacity (FRC - the amount of air left in the lungs at the end of passive expiration) often respond to the delivery of continuous positive airway pressure (CPAP) 5-10 cm H<sub>2</sub>O by either mask or endotracheal tube.

- Patients with severe hypoxemia, hypoventilation, or apnea require assistance with bag and mask ventilation until the airway is intubated.

- Ventilation may be maintained for sometimes with a mask of the proper size but gastric distention, emesis, and inadequate tidal volumes are possible complications.

- In patients who fail to respond to simple oxygen supplementation establishment of an artificial airway is often life saving<sup>2</sup>. Therefore, if, despite the conventional management the patient with respiratory failure (impending or evident) continues to deteriorate or fails to improve, endotracheal intubation should be performed and the institution of some form of respiratory support should be considered.

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**Table 4: Supplemental oxygen therapy**

Source	Max. % O <sub>2</sub>	Range of flow rates	Advantages	Disadvantages
Nasal cannula	35-40%	0.125-4 L/min	Easily applied, relatively comfortable	Uncomfortable at higher flow rates, requires open nasal airways, easily dislodged, lower % O <sub>2</sub> , nosebleeds
Simple mask	50-60%	5-10 L/min	Higher % O <sub>2</sub> , good for mouth breathers	Uncomfortable, dangerous for patients with poor airway control and at risk for emesis, hard to give airway care, unsure of % O <sub>2</sub> .
Face tent	40-60%	8-10 L/min	Higher % O <sub>2</sub> , good for mouth breathers, less restrictive	Uncomfortable, dangerous for patients with poor airway control and at risk for emesis, hard to give a airway care, unsure of % O <sub>2</sub> .
Rebreathing mask	80-90%	5-10 L/min	Higher % O <sub>2</sub> , good for mouth breathers, higher O <sub>2</sub> concentration	Uncomfortable, dangerous for patients with poor airway control and at risk for emesis, hard to give airway care, unsure of % O <sub>2</sub> .
Oxyhood	90-100%	5-10 L/min (mixed at wall)	Stable and accurate O <sub>2</sub> concentration	Temperature regulation, hard to give airway care.

(Reproduced from Stenmark KR, et al. Acute respiratory failure: Current Paediatric Diagnosis and Treatment 1994: pp. 346).

### 2. Endotracheal Intubation

- Intubation of the trachea in infants and children requires experienced personnel and the right equipment.
- A patient in respiratory failure whose airway must be stabilized requires many interventions before the actual intubation.
- The patient must be properly positioned to facilitate air exchange while supplemental oxygen is given. The sniffing position is used in infants while head extension with jaw thrust is used in older children without neck injuries.
- If obstructed by secretions or vomitus the airway must be cleared by suction.
- When not obstructed by a foreign body or epiglottitis, airway should open with proper positioning and placement of an oral or nasopharyngeal airway of the correct size. Nasal airways are better tolerated than oral airways by conscious patients.
- As each step is taken, it is imperative to monitor changes in chest movements airway and breath sounds, skin color, and mental status.
- In patients with normal airway, an intravenous anaesthesia induction for intubation may be performed by those experienced with the drugs and the intubation procedure.
- Drugs commonly used for controlled intubation include:
  - Atropine 0.02 mg/kg/dose
  - Thiopental 3-5 mg/kg/dose
  - Ketamine 1-2 mg/kg/dose (IV)  
4-8 mg/kg/dose (IM)
  - Succinylcholine 1-2 mg/kg/dose
  - Pancuromium 0.1 mg/kg/dose
- Patients with obstructed upper airways (e.g. patients with croup, epiglottitis, obstruction by

foreign bodies or subglottic stenosis) should be awake when intubated unless trained airway specialists decide otherwise.

- Insertion of an endotracheal tube of the correct size is of critical importance in pediatrics.
- A tube that is too large for a pediatric patient may cause pressure necrosis of the tissues in the subglottic region.

(The subglottic region is the narrowest portion of the upper airway in children, in contrast to the glottis in adults)

Insertion of inappropriately large endotracheal tube (ETT) has been associated with scarring and in some cases permanent stenosis of the subglottic region, requiring tracheostomy or cricoid split for repair<sup>2</sup>.

- Too small an endotracheal tube can result in inadequate pulmonary toilet and excessive air leak around the endotracheal tube, making optimal ventilation and oxygenation difficult.

- There are many ways to calculate the size of endotracheal tube that is appropriate for a child<sup>77</sup>. A useful method is the following:

$$\text{Tube size} = (16 + \text{age in years}) / 4$$

Patients under 8 years of age should have uncuffed endotracheal tubes<sup>78-80</sup> (Table 5).

**Table 5: Tracheal tube size and estimated weight by age.**

Age (Yrs)	Weight (kg)	Endotracheal tube size (mm internal diameter)
Premature	1-2.5	2.5 uncuffed
Term newborn	3	3.0 uncuffed
1	10	3.5-4.0 uncuffed
2	12	4.5 uncuffed
3	14	4.5 uncuffed
4	16	5.0 uncuffed
5	18	5.0-5.5 uncuffed
6	20	5.5 uncuffed
7	22	5.5 - 6.0 uncuffed
8	24	6.0 cuffed
10	32	6.0-6.5 cuffed
Adolescent	50	7.0 cuffed

(Reproduced from Batten FK. Emergencies and Accidents. Current Paediatrics Diagnosis and Treatment 1994; pp. 331.)

However, in many paediatric patients decreased pulmonary compliance develops as a result of

the disease process and high positive end-expiratory pressure is required to maintain open alveoli and relatively high pressures so as to provide adequate tidal volume. In these patients the use of cuffed ETT is helpful, if not essential to provide optimal and consistent levels of ventilation and positive end-expiratory pressure. A leak around the ETT often hampers or defeats these two goals of assisted ventilation. Cuffed ETTs are also useful in severe bronchiolitis with high airway resistance and in decreasing the incidence of aspiration<sup>81</sup>.

Use of cuffed endotracheal tubes has been associated with several problems and complications<sup>83-88</sup>, but it has recently been studied that cuffed endotracheal intubation in children younger than 8 years (age range, 1 year - 8 years) is not associated with increased risk of post extubation stridor or significant long term sequelae<sup>77,89,90</sup>.

- After placement of the endotracheal tube breath sounds should be evaluated for bilateral equality.

- One should then check for a leak between the endotracheal tube and the larynx. To do this, connect a pressure - monitoring anaesthesia bag to the circuit and allow it to inflate creating positive pressure. Check for the leak by auscultating over the throat, noting the pressure at which air escapes around the endotracheal tube.

- Leaks of 15-20 cm H<sub>2</sub>O are acceptable.
- Larger leaks (>20 cm H<sub>2</sub>O) are acceptable only in patients having severe lung disease and poor compliance and requiring high pressures to achieve ventilation. In this situation, one must be aware of the possible post extubation complications of subglottic stenosis in the patient.

- A chest x-ray is necessary for final assessment of endotracheal tube placement<sup>2</sup>.

3. *Mechanical ventilation* can be life saving in patients with acute severe hypoxemia or worsening respiratory acidosis (or both) that is refractory to more conservative measures. In patients with severe cardiopulmonary distress for whom the effort of breathing is intolerable, mechanical ventilation substitutes for the action of respiratory muscles. In some patients the respiratory muscles account for as much as 50

percent of total oxygen consumption<sup>66,67</sup>. In such circumstances, mechanical ventilation allows precious stores of oxygen to be rerouted to other tissue beds that may be vulnerable. In addition, the reversal of respiratory muscle fatigue, which may have a role in the development of acute ventilatory failure depends on adequate rest of the respiratory muscles. Positive pressure ventilation can reverse and prevent atelectasis, and by allowing inspiration at a more compliant region of the pulmonary pressure volume curve, it can decrease the work of breathing<sup>68</sup>. Improvements in pulmonary gas exchange and pressure volume relations and relief from excessive respiratory work provide an opportunity for the lungs and airways to heal<sup>66</sup>.

That positive pressure mechanical ventilation can save lives was proved during the poliomyelitis epidemics in the 1950s<sup>69</sup>. Since that time there has been a growing increase in the use of ventilatory support, and it has been closely associated with the development of critical care medicine. Early ventilators were used in conjunction with neuromuscular blocking agents to provide "controlled" ventilation. Today, most machines are triggered by the patient, and there is growing awareness of the complexity of patient ventilator interaction<sup>70-72</sup>. There is also increasing recognition that ventilators can induce subtle forms of lung injury<sup>73</sup>, which has led to a reappraisal of the goals of ventilatory support<sup>74</sup>. With advances in computer and electronic technology, ventilators have changed markedly in appearance and there is an array of options that is increasingly intimidating<sup>75,76</sup>. However, the fundamental principles of ventilatory treatment of the critically ill patient remain unchanged, although there are several new nuances in their application<sup>66</sup>.

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